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- PATENT APPLICATION -
Atty. Dkt. No. STD 1757

CERTIFICATE OF MAILING

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jc678 U.S. PTO
09/492218
01/27/00

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): D. SITRICK

For: MULTI-DIMENSIONAL TRANSFORMATION
SYSTEMS AND DISPLAY COMMUNICATION
ARCHITECTURE FOR MUSICAL COMPOSITIONS

Enclosed are:

- X 67 pages of specification, including 69 claims and an abstract.
- X an executed oath or declaration, with power of attorney.
- _____ sheet(s) of informal drawing(s).
- X 27 sheet(s) of formal drawing(s).

Fee Calculation for Claims as Filed

a) Basic Fee				\$	690.00
b) Independent Claims	<u>7</u>	- 3 =	<u>4</u>	x \$ 78.00 =	\$ 312.00
c) Total Claims	<u>69</u>	- 20 =	<u>49</u>	x \$ 18.00 =	\$ 882.00
d) Fee for Multiple Claims				x 260.00 =	\$.00

Total Filing Fee..... \$ 1884.00

X 1 Statement(s) of Status as Small Entity, reducing Filing Fee by half to \$ 942.00X A check in the amount of \$ 942.00 to cover the filing fee is enclosed.X The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 CFR §§1.16-1.17, or credit any overpayment, to Deposit Account No. 50-1166. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1166.

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004270-01221800

Applicant or Patentee: David H. Sitrick
Serial or Patent No.: _____
Filed or Issued: _____
For: Multi-Dimensional Transformation Systems and Display Communication Architecture for Musical Compositions

Atty Docket No. STD 1757

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) AND 1.27(b) - INDEPENDENT INVENTOR)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees to the United States Patent and Trademark Office with regard to the invention entitled:

Multi-Dimensional Transformation Systems and Display Communication Architecture for Musical Compositions

described in:

- ☒ the specification filed herewith.
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or under law to assign, grant, convey, or license any rights in the invention, is listed below:

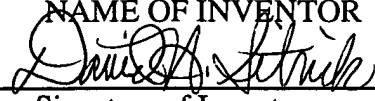
- ☒ no such person, concern, or organization.
☐ person, concerns, or organizations listed below.*

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

FULL NAME _____
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() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

DAVID H. SITRICK
NAME OF INVENTOR

Signature of Inventor

January 26, 2000
Date